

# Alliance Trust Full SIPP

## Adviser remuneration details form

PLEASE COMPLETE IN BLOCK CAPITALS AND BLACK INK

Member's name

### Adviser remuneration

Initial (one-off)  
payment

(See note 1)

AND/OR

 %

of transfer payment(s) for the member (See note 2)

AND/OR

 %

of gross contribution(s) for the member (See note 3)

Renewal (annual)  
payments (see note 4)

AND/OR

 %

of the total fund value for the member at the renewal date

AND/OR

 %

of the value of specific investments, as detailed below

### Notes

- 1 The adviser's company becomes entitled to this payment when the member's SIPP application has been accepted. The payment will be made to the adviser's company as soon as practicable after there are sufficient liquid assets in the pension fund.
- 2 The adviser's company becomes entitled to this payment when the transfer value has been requested. The payment will be made to the adviser's company as soon as practicable after the transfer payment has been received.
- 3 The adviser's company becomes entitled to this payment when the contribution has been made. The payment will be made to the adviser's company as soon as practicable after the contribution has been made, even though the tax relief due on the contribution will not necessarily have been received.
- 4 The adviser's company becomes entitled to this payment on the annual renewal date and the payment will be made to the adviser's company as soon as practicable after the annual renewal date. All annual renewal payments will be treated as being made in arrears.

### Adviser

Contact name

Company name

Company address

Postcode

continued overleaf

I have appointed the adviser named overleaf to work on my behalf in relation to my Full SIPP. I have carefully read the Adviser Remuneration details set out overleaf and confirm that they are acceptable to me. In consideration of the work which the adviser's company has undertaken and, where appropriate, will undertake, on my behalf, I hereby request Cater Allen Private Bank and Alliance Trust Savings Limited to pay the amount(s) shown above from my pension fund to the adviser's company as and when required.

**I understand that:**

This arrangement will remain in place unless I notify Alliance Trust Savings Limited in writing that I wish to amend or terminate it; and

Any amounts paid in accordance with this agreement will not include any allowance for VAT unless an adviser's invoice with allowance for VAT is submitted.

No such amendment or termination will have retrospective effect and any renewal (annual) payments due, as defined above, will be calculated on a pro rata basis.

Member's name

Member's signature

Date



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