

Confirmation of Verification of Identity

Private Individual - Introduction by an FSA-Regulated Firm

Please complete a separate certificate for all parties to the contract (e.g. joint applicants, trustees, settlors and third parties) where you have been required to undertake identification.

1 Details of individual (see explanatory notes below)

Full name of customer	<input type="text"/>						
Current address	<input type="text"/>						
	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Previous address (if individual has changed address in the last three months)	<input type="text"/>						
	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2 Confirmation

I/We confirm that:

a) The information in Section 1 above was obtained by me/us in relation to the customer;

b) The evidence I/we have obtained to verify the identity of the customer: *Tick one box only*

Meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or

Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Name	<input type="text"/>						
Position	<input type="text"/>						
Signature	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

3 Details of introducing firm (or sole trader)

Full name of Regulated Firm (or Sole Trader)	<input type="text"/>						
FSA reference number	<input type="text"/>						

Explanatory notes

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;

- those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or

- those whose identity has been verified using the source of funds as evidence.

This confirmation must carry an original signature, or an electronic equivalent.



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Alliance Trust Savings Limited, PO Box 164, Meadow House, 64 Reform Street, Dundee DD1 9YP.

Tel +44 (0)1382 201900 **Fax** +44 (0)1382 202250 **Email** contact@alliancetrust.co.uk **Web** www.alliancetrust.co.uk

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