



If you have any questions, please
call your Client Services Team on

01382 573737

First Steps Account

Conversion form

You can use this form to:

- A Convert an Investment Dealing Account held on behalf of a child into a First Steps Account, and/or
- B Apply for a rebate of the dealing charge levied for automatic purchases of Alliance Trust PLC within a First Steps Account

Please complete in block capitals and black ink.

A Conversion of an Investment Dealing Account into a First Steps Account

1 Child's details

Please provide details of the child, for whom the Investment Dealing Account is held.

Child's name	<input type="text"/>	Child's date of birth	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>
Account Number	<input type="text"/>	If the Account is to be held in a Bare Trust for the child please tick the box	<input type="checkbox"/>		

2 Annual Report and Accounts

Many adults holding a First Steps Account for a child hold Alliance Trust PLC shares in another Account in their own name and receive the Report and Accounts in respect of that holding. Accordingly, we do not automatically arrange for the Alliance Trust PLC Report and Accounts to be sent out in respect of First Steps Accounts. If you have elected to purchase Alliance Trust PLC shares and would like to receive the Report and Accounts in respect of this First Steps Account, please tick here.

3 Signature - all Account holders

I / We hereby apply to convert the Investment Dealing Account held under the above Account number, into a First Steps Account.
 I / We understand that there are no changes to the Terms and Conditions of the Account other than the ability for automatic dealing charges in Alliance Trust PLC incurred within the First Steps Account to be rebated. Where a rebate of charges has been applied for, I / We confirm that I / we have read the information overleaf regarding the terms and conditions of the rebate.

Account holder 1

Name	<input type="text"/>				
Signature	<input type="text"/>	Date	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>

Account holder 2

Name	<input type="text"/>				
Signature	<input type="text"/>	Date	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>

Account holder 3

Name	<input type="text"/>				
Signature	<input type="text"/>	Date	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>

Account holder 4

Name	<input type="text"/>				
Signature	<input type="text"/>	Date	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>

Account holder 5

Name	<input type="text"/>				
Signature	<input type="text"/>	Date	<input type="text" value="DD"/>	<input type="text" value="MM"/>	<input type="text" value="YYYY"/>

B First Steps Account rebate

By linking this First Steps Account to an Account held by an adult client you may qualify for a rebate of automatic dealing charges for Alliance Trust PLC.

This section of the form should be completed by the adult who wishes to link their Account to a First Steps Account. The adult holding the linked Account does not have to be one of the Account holders of the First Steps Account.

4 Rebate terms and conditions

Dealing charges levied for automatic purchases in Alliance Trust PLC incurred within the First Steps Account in a tax year (6 April to 5 April) will be rebated where, at the end of the relevant tax year, all of the following conditions have been met:

- the First Steps Account is linked to the Account of an adult with a relationship to the child
- the First Steps Account is open
- the linked Account is open and the Accounts held under that Account number have an aggregate value of at least £10,000 at 5 April in the relevant tax year
- the child for whom the Account is held is under 18 years of age

The rebate for which your First Steps Account is eligible will be calculated in arrears, at the end of each UK tax year, and will be paid into the Alliance Trust PLC Security Account of the First Steps Account within 30 days of the end of the relevant tax year.

Stamp duty and any other applicable taxes are not rebateable.

5 Account details

First Steps Account

Child's name

Account Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Adult Account to be linked

Name

Account Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Relationship to child

6 Signature of linked Account holder

Please link the Accounts detailed above. I have read the rebate qualifying terms on this page and I authorise you to disclose information regarding the qualifying status of my Account for rebate purposes to any of the First Steps Account holders.

I consent to my personal data being held and processed for the purposes of administering the rebate. Where I am not an Account holder of the First Steps Account, I understand that I will not have access to any of the information which you hold regarding the First Steps Account.

Signature

Date

DD	MM	YYYY
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Alliance Trust Savings Limited PO Box 164, 8 West Marketgait, Dundee DD1 9YP

Tel +44 (0)1382 321000 Fax +44 (0)1382 321183 Email contact@alliancetrust.co.uk www.alliancetrust.co.uk/alliancetrustsavings

ALLIANCE TRUST ASSET MANAGEMENT

ALLIANCE TRUST PLC

ALLIANCE TRUST SAVINGS

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