



If you have any questions, please call your Client Services Team on

08000 326 323

Select SIPP

Application form

If you have UK earnings you can also apply for a Select SIPP online at www.alliancetrust.co.uk

Alliance Trust Savings use only

If you would like to transfer any existing pensions, please contact us for our transfer pack. Please complete in block capitals and black ink.

1 Personal details

If you are already an Alliance Trust Savings client please provide your Client Reference Number

Title

Date of birth DD MM YYYY

Surname

Marital status Single Married/civil partner

Forenames

Male/Female

Permanent residential address

Mailing address (if different)

Postcode

Postcode

Daytime phone number

Mother's maiden name (for security purposes)

Mobile phone number

Do you have a National Insurance Number? Yes No

Email address

If yes, you must enter it here

2 At what date do you intend to take pension benefits (pension date)?

I intend to take benefits on DD MM YYYY

You can choose any date after your 50th birthday (55 from 2010). The latest date at which you can take benefits is the day before your 75th birthday.

3 Current status

Please tick the box against the statement that best describes your present circumstances. (Please tick one box only)

I am receiving taxable income from employment

I am receiving taxable income from self-employment

I am receiving a taxable pension

I am in full-time education

I am caring for one or more children aged under 16

I am unemployed

I am caring for a person aged 16 or over

Other (please specify)

4 Are you taking advice?



Are you taking professional advice about applying for a Select SIPP?
(Please tick one box only)

Yes

No

(a) Authority to disclose

If you want us to disclose information about your Account by telephone, online or in writing to a financial adviser, please give their details in this section. Any financial adviser you authorise will be given a Personal ID and PIN to allow them online view only and telephone access to your Account. Please note that this only allows us to disclose information. If you wish to also authorise your adviser to give investment instructions, please complete section 4(b) below.

Contact name	<input type="text"/>	Phone number	<input type="text"/>
Name of adviser firm	<input type="text"/>	Adviser firm FSA reference number	<input type="text"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/>		
Postcode	<input type="text"/>		

(b) Authority to accept investment instructions

Any financial adviser you authorise to give investment instructions for your Account (e.g. purchase, sale or cash transfers within your Account) will be able to do this in writing, or use their Personal ID and PIN to allow them to do so online or by telephone. Please note that this authority does not authorise them to make payments, subscriptions or contributions to your Account, give withdrawal instructions, or to change any personal details (e.g. change of address).

Do you want us to accept instructions from this financial adviser on your behalf? (Please tick one box only)

Yes

No

If yes, the financial adviser must sign the box below.

Signature	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Please note that even if you authorise a financial adviser to give instructions on your behalf it's still necessary for you to complete and sign this form.

5 May we disclose information to anyone else?



If you wish us to disclose information about your Account to a third party when they ask for it, please give details in this section. Any third party you authorise will be given a Personal ID and PIN to allow them online view only and telephone access to your Account.

Name	<input type="text"/>	Please send duplicate contract notes to the person named* (please tick if yes)	<input type="checkbox"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/>	Please state the relationship to you	<input type="text"/>
Postcode	<input type="text"/>		

* Unless you have asked us to send this person duplicate contract notes, we will only respond to information requests from them when they ask for it.

This disclosure authority only applies to this Account. Please note that if instructions are to be accepted from anyone other than you to operate your Account, a Power of Attorney or Third Party Authority Form must be submitted. The Third Party Authority Form may be obtained by calling your Client Services Team on 08000 326 323 or by downloading from the Literature Centre on our website at www.alliancetrust.co.uk.

6 Expression of wish



I express a wish for you to pay any lump sum benefits on my death to the following beneficiaries in the proportions shown. I understand that this is an expression of my wishes only and although you, as trustee will consider my wishes, you have complete discretion as to whom lump sums are paid. **This nomination may be changed at any time by writing to us.**

Beneficiary 1

Name	<input type="text"/>	Relationship to you	<input type="text"/>
Date of birth	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>	Proportion to receive (%)	<input type="text" value=""/> %

Beneficiary 2

Name	<input type="text"/>	Relationship to you	<input type="text"/>
Date of birth	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>	Proportion to receive (%)	<input type="text" value=""/> %

Beneficiary 3

Name	<input type="text"/>	Relationship to you	<input type="text"/>
Date of birth	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>	Proportion to receive (%)	<input type="text" value=""/> %

Beneficiary 4

Name	<input type="text"/>	Relationship to you	<input type="text"/>
Date of birth	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>	Proportion to receive (%)	<input type="text" value=""/> %

Total allocation (%)

Please check the total allocation adds up to 100%.

 %

7 Your personal contributions



Please inform us of the net contribution amounts to be provided from your own resources or from cash which has been gifted to you. The minimum amount is £50. You and/or your employer may contribute. Do not include the tax relief and do not deduct or add any of our charges.

You may make contributions to your Account in any of the ways listed below.

If you have decided where you wish to invest please complete the investment instructions and tax relief allocation in sections 10 and 11. However, it is not compulsory to complete these sections in order to open your Account.

Lump sum payments by cheque

Amount	<input type="text" value="£"/>	(minimum £50)	Please make cheques payable to Alliance Trust Savings Limited
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Regular payments by Direct Debit

Amount	<input type="text" value="£"/>	(minimum £50)	
Collect on this day of the month (Tick one box only)	1st <input type="checkbox"/> 8th <input type="checkbox"/> 15th <input type="checkbox"/> 22nd <input type="checkbox"/>	Commencing in (month/year)	<input type="text" value="MM"/> <input type="text" value="YYYY"/>
You must also provide your bank details using the Direct Debit Instruction at the back of this form in section 12. Please tick this box to confirm you have done this.		Collection	<input type="checkbox"/> Direct Debits will be automatically collected on a monthly basis. If you wish Direct Debits to be collected quarterly, please tick.

Please remember to allow at least 10 working days before the first collection is due to be paid to allow your bank time to set up the Direct Debit.

If your employer is to contribute on your behalf you will need to complete and submit the employers pack documentation which is available on request.

Transfer in existing Pension Plans

You may be able to transfer in existing pension Plans you already hold. You should complete the Transfer In Request form which you can download from the Literature Centre at www.alliancetrust.co.uk or by calling your Client Services Team on 08000 326 323.

8 Declaration by Alliance Trust Savings



We declare to you that for the Alliance Trusts' Pension Plan ("the Scheme"):

- under the Data Protection Act 1998, we are a data controller and will process data about you in performance of our duties as a SIPP scheme administrator, trustee and provider
- we are authorised and regulated by the Financial Services Authority
- we are a Scheme Administrator for the Scheme for the purposes of the Finance Act 2004

- we will hold payments, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash under the Scheme for your Select SIPP
- your Select SIPP and rights under it will be governed by the details on your application as accepted by us, and by our Terms and Conditions and documents referred to in it (the "Governing Documents") as amended by us from time to time.

9 Declaration to Alliance Trust Savings and Signature



1. I hereby apply to join the Scheme. I agree to be bound by the trust deed and rules of the Scheme.

I apply for a Select SIPP and confirm that I have been given the opportunity to review the Terms and Conditions and documents referred to in it (the "Governing Documents"), and the Select SIPP Key Features.

I declare that:

- all payments or contributions made and to be made to my Select SIPP are and will belong to me or be from a person authorised by me
- I will be bound by the Governing Documents as they apply to my Select SIPP
- the information given by me in this application is true and correct to the best of my knowledge and belief, and
- I undertake to inform you without delay of any changes to the particulars given in this application and any other changes which may affect my ability to hold a Select SIPP.

I declare that:

I am over 18 years of age.

AND

For any contributions I wish to make I am eligible to obtain tax relief on those contributions because one of the following statements applies to me:

- (a) I am now receiving relevant UK earnings, or have been receiving relevant UK earnings at some time during the current tax year, or
 - (b) I do not have relevant UK earnings in the current tax year. I am eligible to obtain tax relief on contributions because:
 - (i) I am now, or have been at some time during the current tax year, resident in the United Kingdom, or
 - (ii) I am, or am the spouse/civil partner of, an individual who has for the current tax year general earnings from overseas Crown employment subject to UK tax.
2. I declare that total contributions to any registered pension schemes in respect of which I am entitled to relief under Section 188 of the Finance Act 2004 will not exceed the higher of the Basic Amount or my relevant UK earnings within the meaning of Section 189 of the Finance Act 2004 for any tax year.
3. If an event occurs as a result of which I am no longer entitled to tax relief on contributions under Section 188 of the Finance Act 2004, I will inform you in writing by 5 April in the tax year in which the event occurs, or by the 30th day following the occurrence of that event (whichever is the later), but before any further contributions are made.
4. I understand that benefits under the Scheme may not be surrendered, assigned, commuted or transferred other than in accordance with the Finance Act 2004, or in implementation of a pension sharing order in accordance with the Welfare Reform and Pensions Act 1999. I authorise you to obtain details of any

other pension arrangements which I might have from the relevant provider/trustee or scheme administrator, as the case may be, and I hereby authorise those persons to disclose that information to you. I agree to give you the information you may require to administer my arrangements or benefits under the Scheme.

5. I agree not to require or attempt to require the withdrawal of assets held by under the Scheme in respect of my Select SIPP, other than for the provision of benefits in accordance with the provisions of the Select SIPP at the time specified in the rules of the Scheme.

I authorise you to:

- hold my payments, investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash under my Select SIPP, subject to the Governing Documents
- on my authenticated request, and subject to the Governing Documents, transfer or pay to me, as the case may be, investments, interest, dividends, rights or proceeds in respect of those investments or any cash
- create additional Accounts for me as appropriate for the delivery of Services or receiving payments using the details contained in this application as updated by me as appropriate
- divide my Select SIPP into 1,000 Arrangements for the purposes of the Scheme
- if I do not already have a Personal ID and PIN, issue me with one on acceptance of this application so that I may use the Services that need my authentication
- act on instructions given under that Personal ID and PIN or replacement authentication for any of your Services that require prior authentication.

I am aware why my information and data is collected by you, the length of time it will be retained and that it may be passed to others, all as described in the Governing Documents.

You are authorised to:

- contact me by email, telephone or post to provide me with information on your products, services and events and those from companies within the Alliance Trust group, and
- transfer my information to other companies within the Alliance Trust group (including Alliance Trust PLC, Alliance Trust Pensions Limited, Alliance Trust Asset Management Limited and Alliance Trust Equity Partners) and I agree that they may contact me too

until I write or email the Client Services Team requesting you or them to stop.

By submitting this application, I consent to the use and processing of my information and data as set out in the Governing Documents.

It is a serious offence to make any false statements and to do so can lead to prosecution.

Signature

Date

DD	MM	YYYY
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10 Investment instructions



If you wish you can give us your purchase and income instructions now. Please refer to the notes below this section.

If you have not yet decided which investments you would like to make you may leave this section blank and your cash will be placed on deposit until we receive further instructions from you.

You will find information on available investments in the Literature Centre at www.alliancetrust.co.uk or by calling your Client Services Team on 08000 326 323 to request a list of available investments.

Investment ¹		Payment amount ²		Income options ³	
Name (inc.class)	TIDM code	Lump sum (£)	Direct Debits (%)	Name (inc.class)	TIDM code
		Total	£		%

Please ensure the amounts add up to the whole amount you entered in the section headed Your personal contributions (section 7).

Notes

1 Investment

Enter the full name and class of each investment you wish to make. This is important as there may be different classes available.

Please also quote the TIDM code which you can find in the Investment Choice Booklet available within the Literature Centre at www.alliancetrust.co.uk.

To allocate your cash to your Deposit Account or Online Dealing Account, enter 'deposit' or 'online'.

2 Payment Amount

Lump Sum - please enter the amount you wish to allocate to each investment.

Direct Debits - please indicate the percentage you wish to allocate to each investment and ensure they add to 100%.

3 Income options

Any income received from each investment will automatically be used to purchase more of the same investment when your Minimum Purchase Level (see below) is reached, unless you wish to:

- use it to purchase another investment - enter name and TIDM code
- direct it to your Deposit Account - enter 'deposit'
- direct it to your Online Dealing Account - enter 'online'

4 How we will place your order

We will carry out your investment instructions once your Account is opened.

We may buy your investments for you along with other client instructions as part of the same deal. We will take all reasonable steps to ensure that the purchase is dealt on the best terms generally available in the market for transactions of a similar size and nature at the time of execution, as described in our Order Handling Policy. This may sometimes work to your advantage or disadvantage.

Minimum Purchase Levels

When cash in your Security Account reaches the Minimum Purchase Level we will automatically purchase more of the same investments for you. The default level is £100 but if you wish to select a different amount please tick below. The level you select will apply to all investments in your Select SIPP. You can change the levels at any time by completing a Select SIPP Instruction form.

£150 £300 £500

Purchasing Funds

If you wish to invest in a fund (an OEIC or unit trust) you must confirm that you have read the Guide to Funds and relevant Simplified Prospectus **and** that you are not a U.S. person. Please tick **both** boxes below.

I confirm that I have read the Guide to Funds and relevant Simplified Prospectus. (These are available in the Literature Centre at www.alliancetrust.co.uk or by calling us on 08000 326 323).

and

I am not a U.S. person

11 Tax relief allocation



Tax relief will automatically be allocated to your Deposit Account. If you do not want this, please specify an investment to receive it. Income will then automatically be reinvested in that investment unless you specify an income redirection below. You may change this at any time by writing to us. Please refer to the Table of Charges for details of the charges, which is available from the Literature Centre at www.alliancetrust.co.uk or by calling your Client Services Team on 08000 326 323.

Name (inc.class)	TIDM code	Income redirection	
		Name (inc.class)	TIDM code



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Alliance Trust Savings Limited PO Box 164, 8 West Marketgait, Dundee DD1 9YP

Tel +44 (0)1382 321000 Fax +44 (0)1382 321183 Email contact@alliancetrust.co.uk www.alliancetrust.co.uk/alliancetrustsavings

12 Direct Debit Instruction



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form and return it to:

Alliance Trust Savings Limited, PO Box 164, 8 West Marketgait, Dundee DD1 9YP

Ref number (Alliance Trust use only)	<input type="text"/>	Originator's ID number	<input type="text" value="8"/> <input type="text" value="0"/> <input type="text" value="7"/> <input type="text" value="3"/> <input type="text" value="4"/> <input type="text" value="1"/>
Full name	<input type="text"/>	Name(s) of account holder(s)	<input type="text"/>
Name and full postal address of your bank or building society	<input type="text"/>	Branch sort code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	<input type="text"/>	Bank or building society account number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/>		

Direct Debit instruction

Please pay Alliance Trust Savings Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Alliance Trust Savings Limited and, if so, details will be passed on electronically to my bank/building society.

Signature(s)	<input type="text" value="X"/>	Date	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>
	<input type="text" value="X"/>	Date	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

Banks and building societies may not accept Direct Debit instructions for some types of account.

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ALLIANCE TRUST ASSET MANAGEMENT

ALLIANCE TRUST PLC

ALLIANCE TRUST SAVINGS

Alliance Trust Savings Limited is registered in Scotland No. SC98767; registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised and regulated by the Financial Services Authority whose address is 25 The North Colonnade, Canary Wharf, London E14 5HS firm reference number 116115; gives no financial or investment advice. 09-171 (June 2009)

Direct Debit guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all banks and building societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own bank or building society.
- If the amounts to be paid or the payment dates change, Alliance Trust Savings will notify you 10 working days in advance of your account being debited or as otherwise agreed.
- If an error is made by Alliance Trust Savings or your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time, by writing to your bank or building society. Please also send a copy of your letter to us.

