

# Alliance Trust Investment Funds ICVC

## OEIC Application form

If you have any queries call Alliance Trust Asset Management Limited on 0844 892 0349. For your protection, telephone calls are usually recorded. Please return the completed form to your authorised financial adviser, or to Alliance Trust Asset Management Limited, PO Box 23910, 12 Blenheim Place, Edinburgh, EH7 9AJ.

Please complete this form in black ink and BLOCK CAPITALS.

### Important

Your investment in Alliance Trust Investment Funds ICVC will be governed by the information you provide in this Application, as accepted by Alliance Trust Asset Management Limited, and the Prospectus and Simplified Prospectus of the Funds. The Prospectus and Simplified Prospectus are available from Alliance Trust Asset Management Limited or can be downloaded from our website at [www.alliancetrust.co.uk/assetmanagement](http://www.alliancetrust.co.uk/assetmanagement). We recommend that you read the Prospectus and Simplified Prospectus before making an investment.

## 1 Personal details

All applicants must complete this section.

|   |   |  |                      |
|---|---|--|----------------------|
| <b>Title</b> (Mr/Mrs/Miss/Other)                                | <input type="text"/>  | <b>Existing Account number</b> (if applicable) | <input type="text"/> |
| <b>Surname</b>  | <input type="text"/>  | <b>Full permanent address</b>                  | <input type="text"/> |
| <b>Forename(s)</b>  | <input type="text"/>  |  | <input type="text"/> |
| <b>Date of birth</b>  | <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/> |  | <input type="text"/> |
| <b>National Insurance number / National Pensions number</b>     | <input type="text"/>  | <b>Postcode</b>                                | <input type="text"/> |
| <b>Registered name</b> (if not an individual e.g. company name) | <input type="text"/>  | <b>Daytime telephone number</b>                | <input type="text"/> |
|   | <input type="text"/>  |  |                      |

Account designation or if your application is on behalf of a child, please write the child's initials here.

|                         |                      |   |
|-------------------------|----------------------|---|
| <b>Child's initials</b> | <input type="text"/> | Children under the age of 18 may not hold shares in the sub-funds of Alliance Trust Investment Funds ICVC in their own name, although you can invest in a designated account on their behalf. |
|-------------------------|----------------------|---|

For one additional holder, please complete details below. Please note: in the case of joint holders, all payments and correspondence will be sent to the first-named holder unless otherwise requested. Additional joint holders should give names and sign on a separate sheet of paper.

|                                  |                      |   |   |
|----------------------------------|----------------------|---|---|
| <b>Title</b> (Mr/Mrs/Miss/Other) | <input type="text"/> | <b>Date of birth</b>  | <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/> |
| <b>Surname</b>                   | <input type="text"/> | <b>National Insurance number / National Pensions number</b> | <input type="text"/>  |
| <b>Forename(s)</b>               | <input type="text"/> |   |   |

Continued overleaf

## 2 Investment details



Please state the fund(s) and indicate the amount(s) you wish to invest or the number of shares you wish to buy.

| Fund                                      | Share Type              | Minimum Investment<br>£5,000 |        | Minimum Investment<br>£1,000,000 |        |
|---|-------------------------|------------------------------|--------|----------------------------------|--------|
|   |                         | Class A                      |        | Class B                          |        |
|   |                         | Cash                         | Shares | Cash                             | Shares |
| Alliance Trust European Equity Fund       | Net Accumulation Shares |                              |        |                                  |        |
| Alliance Trust European Equity Fund       | Net Income Shares       |                              |        |                                  |        |
| Alliance Trust North American Equity Fund | Net Accumulation Shares |                              |        |                                  |        |
| Alliance Trust North American Equity Fund | Net Income Shares       |                              |        |                                  |        |
| Alliance Trust UK Equity Income Fund      | Net Accumulation Shares |                              |        |                                  |        |
| Alliance Trust UK Equity Income Fund      | Net Income Shares       |                              |        |                                  |        |

| Fund                                    | Share Type                 | Minimum Investment<br>£5,000 |        | Minimum Investment<br>£1,000,000 |        | Minimum Investment<br>£1,000,000 |        |
|---|----------------------------|------------------------------|--------|----------------------------------|--------|----------------------------------|--------|
|   |                            | Class A <sup>†</sup>         |        | Class B <sup>†</sup>             |        | Class P                          |        |
|   |                            | Cash                         | Shares | Cash                             | Shares | Cash                             | Shares |
| Alliance Trust Monthly Income Bond Fund | Net Accumulation Shares    |                              |        |                                  |        |                                  |        |
| Alliance Trust Monthly Income Bond Fund | Gross Accumulation Shares* |                              |        |                                  |        |                                  |        |
| Alliance Trust Monthly Income Bond Fund | Net Income Shares          |                              |        |                                  |        |                                  |        |
| Alliance Trust Monthly Income Bond Fund | Gross Income Shares*       |                              |        |                                  |        |                                  |        |

<sup>†</sup> Gross income and gross accumulation shares for this share class are not currently available to investors but may be available in the future. Please contact the ACD for further information.

\* Gross income and gross accumulation shares are only available to investors who are eligible for gross distributions. In accordance with HM Revenue & Customs requirements, investors wishing to purchase gross Shares must complete a Declaration of Eligibility and Undertaking. Further information may be obtained from the Administrator.

Please make your cheque payable to Alliance Trust Asset Management Limited.

### Receiving income

If you have asked for any available income from your investment to be paid to your Bank or Building Society, we will pay it by direct credit to the account details included at section 5. We pay any available income on the distribution date(s) of the relevant fund(s). The amount will be that which you have accrued up to the relevant ex-distribution date(s).

### Sale Proceeds

If you wish for the proceeds of any sale of shares to be paid to your Bank or Building Society, we will pay it by direct credit to the account details included at section 6.

## 3 Data Protection



The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 1998.

We may hold and process information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Alliance Trust group.

Your personal information may be passed to and used by companies within the Alliance Trust group and third parties including credit referencing, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries where different levels of data protection apply. Please write to

our Compliance Officer for an up to date list of the current members of the Alliance Trust group or if you do not wish to receive marketing of goods and services by us or other companies in the Alliance Trust group.

Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser.

Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You are entitled to request details of information we hold about you upon payment of a fee and to require us to correct any inaccuracies in your personal data. Please contact our Compliance Officer for these purposes.

## 4 Declaration



Declaration to be completed by all applicants.

**Note:** For your own benefit and protection you should read the Simplified Prospectus, which includes the Terms and Conditions which apply to your investment, carefully before signing this Application. If you do not understand any point please ask an authorised financial adviser for advice.

- (a) I/We apply for shares in the Fund(s) and request Alliance Trust Asset Management Limited act in accordance with my/our instructions.
- (b) I/We declare that this application form has been completed to the best of my/our knowledge and belief.
- (c) I/We consent to your processing my/our personal data as described in section 3 above. I/We authorise you to transfer my/our information to other companies in the Alliance Trust group and agree that you or they may contact me/us by e-mail, telephone or

post (where I/We have given you these contact details) to inform me/us about your products, services and events and those of other companies in the Alliance Trust group. I/We understand that I/we can contact you at any time to request you not to contact me/us for these purposes.

- (d) I am / We are age 18 years of age or older and do not have US citizenship.

**Please note:** We may contact a third party in order to verify your identity. In certain circumstances, we may ask you to provide evidence of your identity. The manager reserves the right to withhold redemption proceeds or freeze your account until you have provided satisfactory proof of identity.

Signature  
(First-named holder)

Date

|    |    |      |
|----|----|------|
| DD | MM | YYYY |
|----|----|------|

Signature  
(Joint holder)

Date

|    |    |      |
|----|----|------|
| DD | MM | YYYY |
|----|----|------|

## 5 Receiving Income



Complete this section if you want any income to be paid directly to your Bank or Building Society.

Name and full postal address of your Bank or Building Society where you want income paid

  
  
  


Branch sort code

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|--|--|--|--|--|--|

Bank or Building Society Account number

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

Name(s) of Account holder(s)

  


Postcode

Building Society roll number

## 6 Sale proceeds



Complete this section if you want any sale proceeds to be paid directly to your Bank or Building Society.

Name and full postal address of your Bank or Building Society where you want income paid

  
  
  


Branch sort code

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|--|--|--|--|--|--|

Bank or Building Society Account number

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

Name(s) of Account holder(s)

  


Postcode

Building Society roll number

Continued overleaf

## 7 Adviser details – for intermediary use only



By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Terms of Business.

Adviser Reference Number

Signature / Stamp

Initial Commission

Tick here if no investment advice has been given and therefore cancellation rights do not apply.

## 8 Please remember



- Cheques should be made payable to **Alliance Trust Asset Management Limited**. If you wish to pay by Building Society cheque, please ask the Building Society to print the account holder's name on the cheque.
- In order that income payments and / or sale proceeds can be paid direct to your Bank or Building Society account, please complete section 5 and / or 6.
- Please ensure you have signed and dated the Declaration in section 4.
- Please return your completed application form in the reply-paid envelope provided or send it to: Alliance Trust Asset Management Limited, PO Box 23910, 12 Blenheim Place, Edinburgh, EH7 9AJ.

**Alliance Trust Asset Management Limited** 8 West Marketgait, Dundee DD1 1QN

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**Investing for Generations** – At Alliance Trust Asset Management, we offer a focused range of investment solutions to meet clients' needs. Managed by our experienced team of investment professionals using proprietary research, our unique long-term, high conviction approach to global investing has been tested and developed over generations through diverse market conditions. Furthermore, we benefit from the integrity, durability and strength of Alliance Trust PLC.