

Select PEP

Transfer application form

for use by new PEP customers only

For Alliance Trust Savings use only

PLEASE COMPLETE IN BLOCK CAPITALS AND BLACK INK

You can also apply to transfer your PEP online at www.alliancetrust.co.uk

Please read the notes for the points numbered. They can be found on the back of this form.

1. Personal details

Account Number (existing Alliance Trust Savings customers only)	<input type="text"/>						
Surname	<input type="text"/>					Title	<input type="text"/>
Forename(s)	<input type="text"/>						
Permanent residential address	<input type="text"/>						
	<input type="text"/>						
	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mailing address (if different)	<input type="text"/>						
	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
National Insurance number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mothers maiden name	<input type="text"/>						
	For security purposes						
Telephone number(s)	<input type="text"/>			<input type="text"/>			
	<input type="text"/>						
Email address	Please state your email address only if you agree to us using it to communicate with you <input type="text"/>						

2. Cooling off

Was this product recommended to you by a professional intermediary?

Yes

No

Please tick

3. Information and disclosure instructions

Details of my plan(s) may be disclosed to

Name

Address

Postcode

Please send duplicate transaction statements to the person named above. Please tick if yes

4. Declaration to Alliance Trust Savings and signature

I declare that I have been given and have read and understood the relevant sections of the Select Plans Important Information & Terms and Conditions brochure (Terms and Conditions), the Charges Schedule, and any relevant Key Features document. I agree to be bound by the terms of the agreement between me and Alliance Trust Savings Limited and confirm that I understand that no interest is paid on balances in Cash and Security Accounts.

I hereby apply for a Select PEP by means of a transfer from another PEP manager and authorise you to hold my PEP investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief from tax in respect of PEP investments. I also authorise you, on my written request, and subject to the terms and conditions of the Select PEP, to transfer or pay to me, as the case may be, PEP investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

I confirm that I have read and understood the data protection section within the Terms and Conditions. By signing this application form, I consent to you using my information in the manner described in that document.

I declare that the information given is true and correct to the best of my knowledge and I undertake to inform you without delay of any changes to the particulars given on this form and any other changes which may affect my eligibility to hold a Personal Equity Plan.

If I do not already have a Personal ID and PIN, please issue me with one on acceptance of this form. I authorise you to act on instructions given under that Personal ID and PIN through Alliance Trust Online and telephone facility.

Signature

Date

Please now complete both sections of the Transfer Request form and return all forms to Alliance Trust.

We may contact you by telephone and post to provide you with information on our products, services and events and those from companies within the Alliance Trust group. We may also transfer your information to other companies within the group so that they may contact you by telephone and post to tell you about their products, services and events. If you do not want to receive such information please write to the Customer Services Manager.

We may email you about Alliance Trust group products and services. We need to have your permission to do so; if you wish to benefit from these communications please tick this box.

Select PEP

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Section B - Instructions to Transferor Manager

SECTION A HAS BEEN RETAINED BY ALLIANCE TRUST SAVINGS

PLEASE COMPLETE IN BLOCK CAPITALS AND BLACK INK

Instructions to transferor PEP manager

	To: (PEP manager)	
Name of PEP manager	<input type="text"/>	
Address	<input type="text"/>	
	<input type="text"/>	Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	From: (customer)	
Name	<input type="text"/>	
Address	<input type="text"/>	
	<input type="text"/>	Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	I wish to transfer the following PEP to Alliance Trust Savings Limited (HM Revenue & Customs reference A550A).	
PEP reference or account number	<input type="text"/>	

Transferring your entire PEP

I wish the transfer to be effected in:

Cash only Securities and cash
(complete the table below)

If you are unable to transfer securities please:

transfer in cash

or

return this instruction to Alliance Trust Savings

Please transfer the following securities to Alliance Trust Savings. Please refer to the covering letter from Alliance Trust Savings for the registration details for the securities to be transferred. Please sell all other securities in the PEP to be transferred and send a cheque representing the net sale proceeds to Alliance Trust Savings. All cheques should be made payable to Alliance Trust Savings Limited.

Name of security (including class)	Please write 'all' or the number of securities to be transferred

Transferring only part of your PEP to Alliance Trust Savings

I wish the transfer to be effected in:

Cash only

Amount to be transferred

£

Securities and cash

Amount to be transferred

£

(complete the table below)

If you are unable to transfer securities please:

transfer in cash

or

return this instruction to Alliance Trust Savings

Please transfer the following securities to Alliance Trust Savings. Please refer to the covering letter from Alliance Trust Savings for the registration details for the securities to be transferred.

Name of security (including class)	Please write 'all' or the number of securities to be transferred

Other instructions to transferor PEP Manager

Please:

1. Write to Alliance Trust Savings and to me informing us that you have received these instructions and are progressing them.
2. Supply Alliance Trust Savings with any information it requires about my PEP and comply with instructions given to you by Alliance Trust Savings regarding this transfer.
3. Send a closing statement of my PEP to Alliance Trust Savings and to me.

Signature

Signature

Date

Alliance Trust Savings Limited, PO Box 164, Meadow House, 64 Reform Street, Dundee DD1 9YP.

Tel +44 (0)1382 201900 Fax +44 (0)1382 202250

Email contact@alliancetrust.co.uk Web www.alliancetrust.co.uk

Telephone calls may be recorded for training and security purposes.

Alliance Trust Savings Limited is registered in Scotland No. SC 98767; authorised and regulated by the Financial Services Authority and gives no financial or investment advice. Full statements on our privacy policy, related policies and statutory legal information are available on our website www.alliancetrust.co.uk. 'alliancetrust' is the brand name of Alliance Trust PLC and its subsidiaries.

Notes

Transfer application form

You must complete a transfer application if you do not already have a Select PEP. You must sign the form personally. Forms signed by an attorney are not acceptable unless the applicant is physically or mentally incapacitated. In these cases, please contact us for further information.

Please refer to the Terms and Conditions if you require further information on any of the points below.

1. Personal details

Please state your full name and address including postcode.

2. Cooling off

If this PEP was recommended to you by a professional intermediary, you have seven days to withdraw from the transfer. To withdraw you may use the Withdrawal Notice provided. If you do not complete this line, or answer NO, you will not be granted cooling off rights.

3. Information and disclosure instructions

If you have any other Plans with us, such as a pension, your valuation and transaction statement wishes will apply to all Plans held under the same account number as this Plan. If duplicate transaction statements are issued to a third party, they will be sent at the same time as your statements. Your statement and valuation dates can be changed at any time.

If you wish us to disclose information about your PEP and any other Plans you have with us to your spouse, financial adviser, compliance officer, accountant, or other third party, please provide their name and address. If instructions are to be accepted from anyone other than you, a power of attorney, or letter of authority acceptable to us, must be submitted.

4. Declaration

Under the Data Protection Act 1998, we are a data controller and will process data about you in performance of our duties as a PEP manager.

Please see the Terms and Conditions for full details.

Transfer request form

You must complete a separate transfer request form for each PEP you wish to transfer to us. If you require further copies visit www.alliancetrust.co.uk or call us on 08000 326 323.

5. Investment instructions

- Please read the Investment Choice booklet before completing this section, to establish which securities can be held within the Select PEP.
- For each security selected, please quote the full name and the class of security. This is very important as there may be different classes of security.
- Please quote the TIDM code (see the Investment Choice booklet).

If the description is unclear your instruction will be rejected.

If you do not give us investment instructions, we will allocate any monies transferred to the Deposit Account and will notify you of this. You will then have to send instructions as to how you wish the monies invested. Please refer to the Terms and Conditions.

Minimum purchase levels

You can choose the levels at which purchases will take place in your PEP. Please refer to the Terms and Conditions.

Daily purchase option

Please state 'd' if you wish us to purchase your chosen security by the daily option, which means that we will effect the purchase on the day the funds are received from your existing PEP manager. Otherwise the weekly option will apply. Please refer to the Terms and Conditions for further information.

Income

Income will automatically be reinvested in the same security. If you require income from one security to be redirected to another Security Account or to the Deposit Account, please enter the name and code of the security or 'deposit'.

Income payments

Please tick the box if you would like to withdraw income from any of the securities you wish to hold in the Select PEP. We will send you a further form to complete, sign and return.

6. PEP statement

Please enclose a recent transaction statement from your existing PEP manager. We will not be able to process your transfer without this statement.

The transfer of a PEP between managers can be a complex process, particularly where securities are being transferred. Delays often occur which are outside the control of both managers. Sometimes we receive transfer instructions from a customer which conflict with instructions received from the other PEP manager. In these situations we freeze the transfer process until we receive clarification from the customer which is then confirmed and accepted by the other manager. This may delay the transfer considerably and we do not accept responsibility for the consequences of such delays.

Alliance Trust Savings Limited, PO Box 164, Meadow House, 64 Reform Street, Dundee DD1 9YP.
Tel +44 (0)1382 201900 Fax +44 (0)1382 202250 Email contact@alliancetrust.co.uk Web www.alliancetrust.co.uk
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